

## Wood has been used for thousands of years as an environmentally friendly and renewable construction material

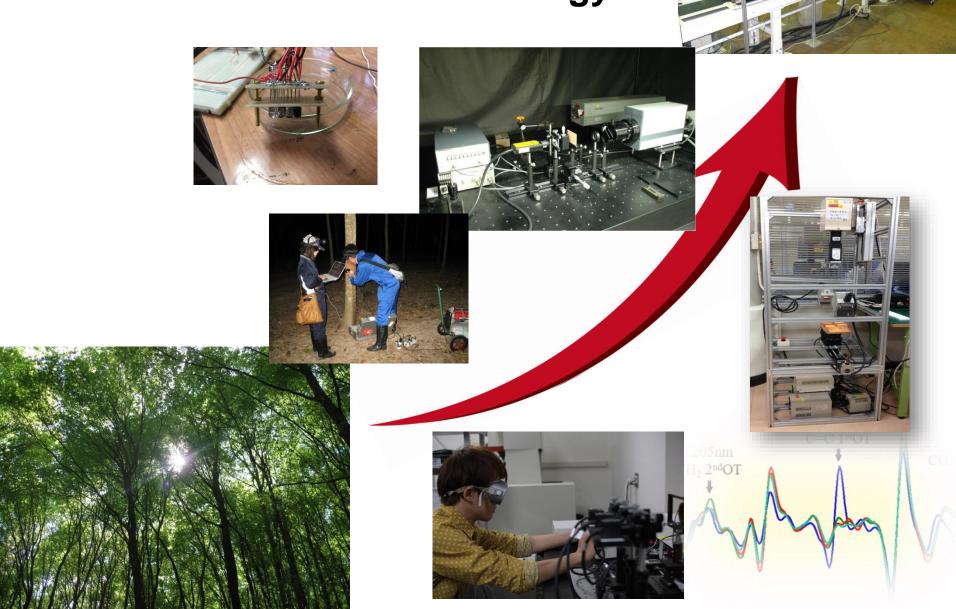








# NIR Spectroscopy in Wood Science and Technology

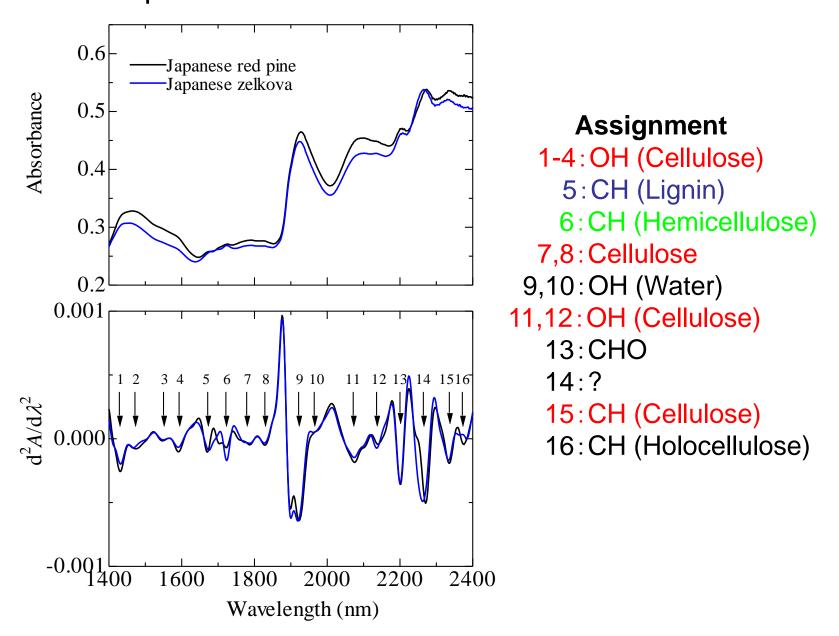


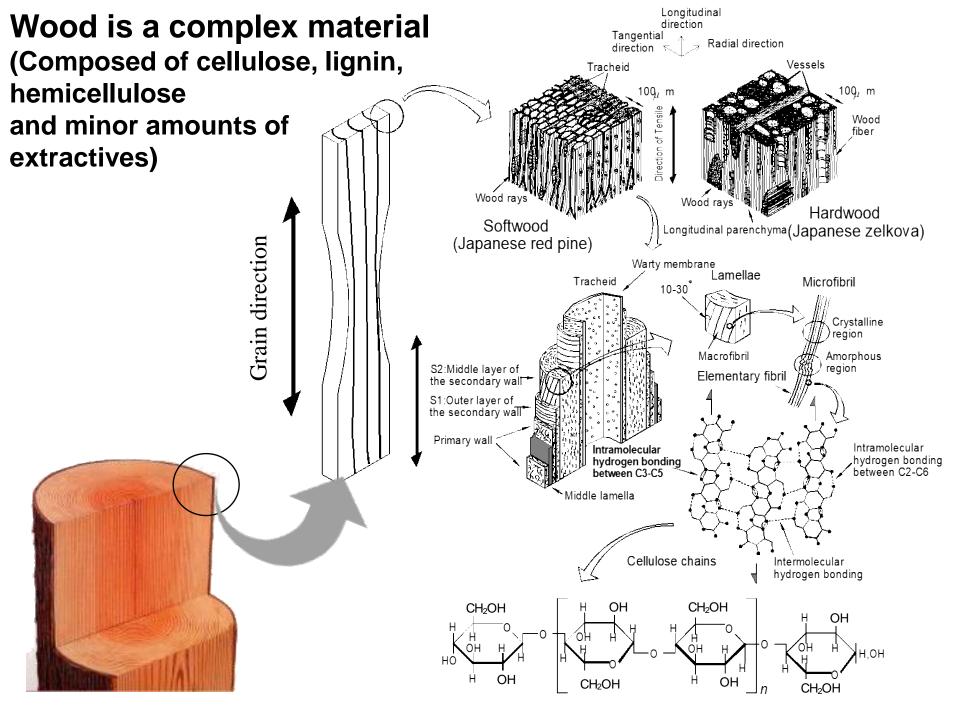
So far, over 500 NIR research aimed at the wood or paper science and industry have been published.

Most of them have been published in latter half of 1990s and 2000s.

- 1. WOOD CHEMISTRY AND PHYSICS
  - 1-1. Assignment of NIR Absorption Bands Related to Wood
  - 1-2. NIR Research for Chemical Composition of Wood (Lignin, Cellulose, Extractives)
  - 1-3. NIR Research for Physical Property of Wood (Moisture content, Grain angle and surface roughness, Density, Anatomical parameter, Mechanical property)
- 2. ENGINEERING WOOD
- 3. WOOD DEGRADATION AND MODIFICATION
- 4. PULP AND PAPER Pulp and Paper Making System Pulp Yield Chemical Component of Pulp Physical and Optical Research for Pulp and Paper
- S. Tsuchikawa, Applied Spectroscopy Reviews, 42: 43-71, 2007
- S. Tsuchikawa and M. Schwanninger, *Applied Spectroscopy Reviews*, **48**: 560-587, 2013

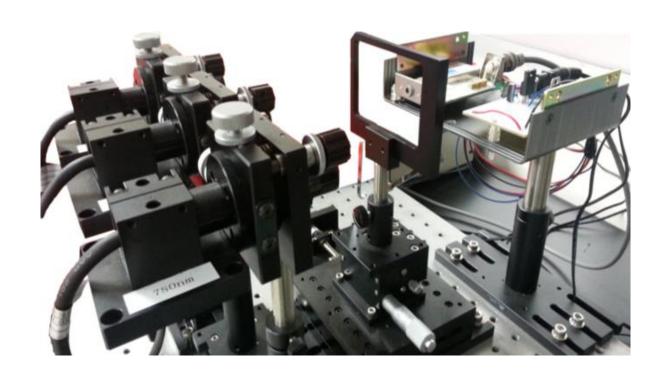
## Original and Second-Derivative NIR Spectra for Japanese Red Pine and Japanese Zelkova



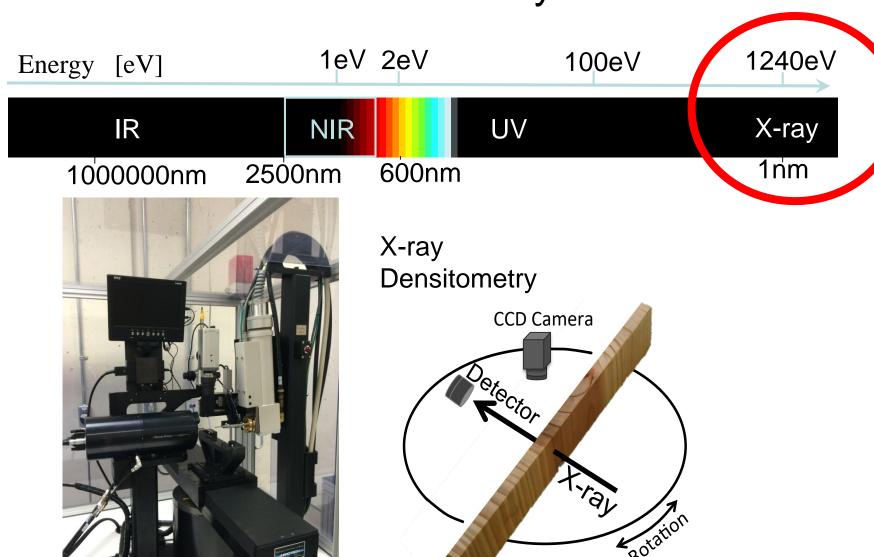


#### **Topic I**

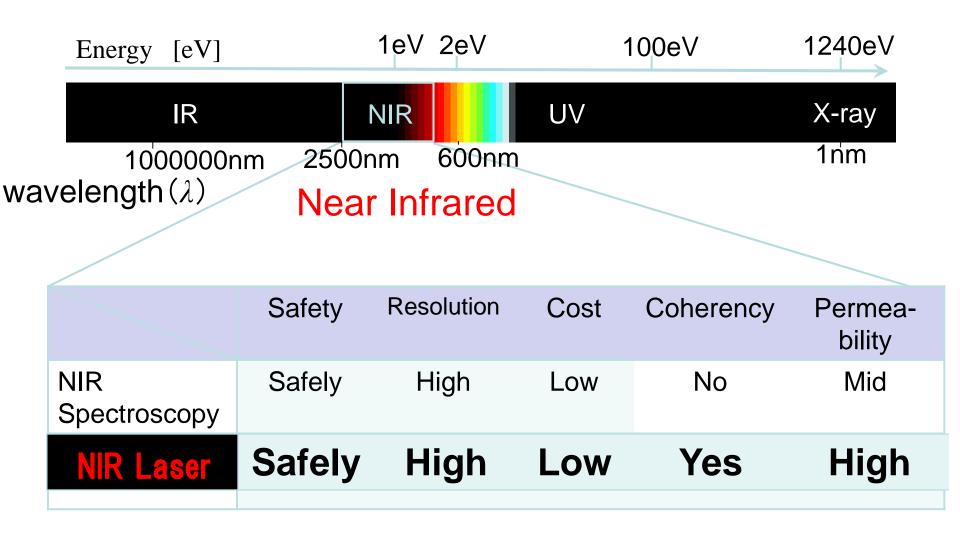
## Construction of Novel Wood Densitometer using NIR Laser System



## Light Sensing Technique for Detection of Wood Density



## Light Sensing Technique for Detection of Wood Density



#### Development of NIR Device

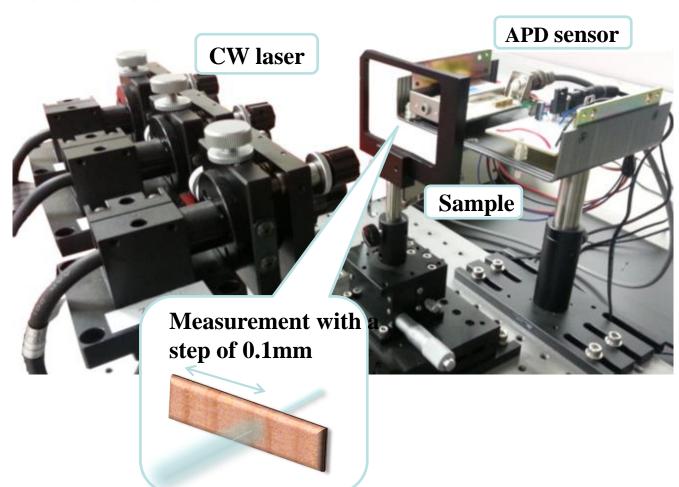
Continuous wave laser

– Ф1mm

- 830nm

APD sensor

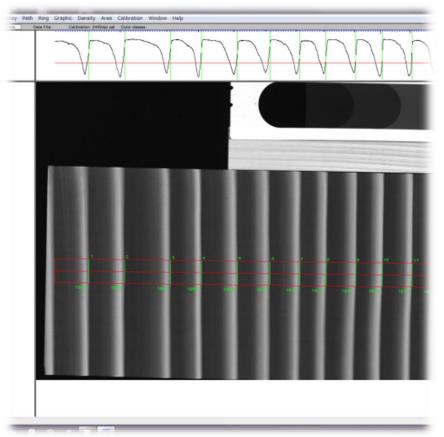
Ф5mm



- Sample
  - Douglas fir(Thickness : 2mm, 3mm, 4mm)

 Measurement of density by the x-ray densitometer (as reference)





#### Fitting of Lambert-Beer Law

LB law is available for non-scattering materials.

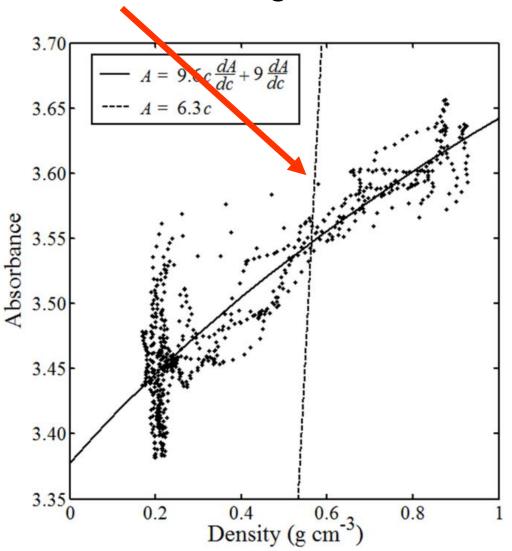
 $A = \varepsilon \, cd$ 

A: Absorbance

 $\varepsilon$ : Absorption coefficient

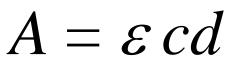
c: Density

d: Sample thickness



#### Fitting of Lambert-Beer Law

LB law is available for non-scattering materials.



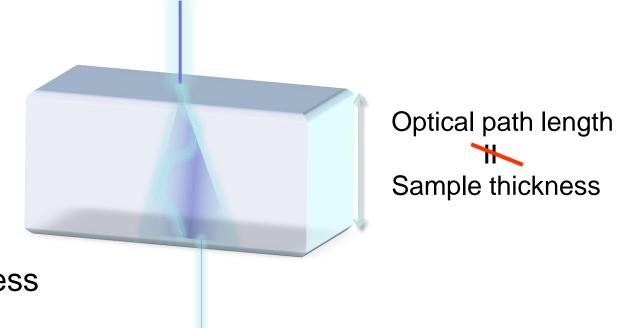
A: Absorbance

 $\varepsilon$ : Absorption

coefficient

c: Density

d: Sample thickness



Scattering phenomenon affects optical path length.

#### Proposal of Modified LB Law

$$A = \varepsilon c \frac{\mathrm{d}A}{\mathrm{d}c} + G$$

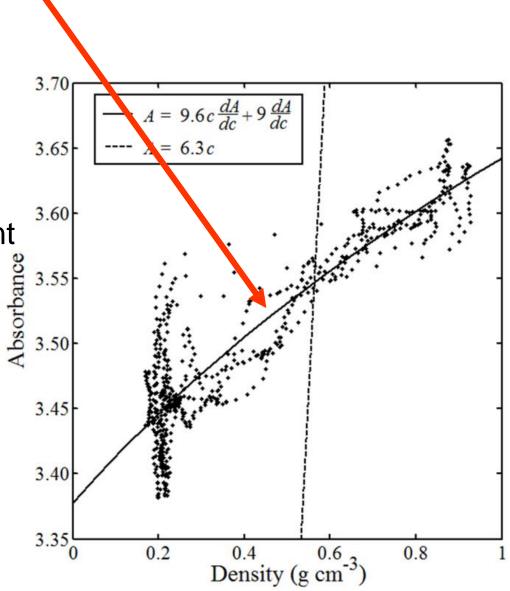
A: Absorbance

 $\varepsilon$ : Absorption coefficient

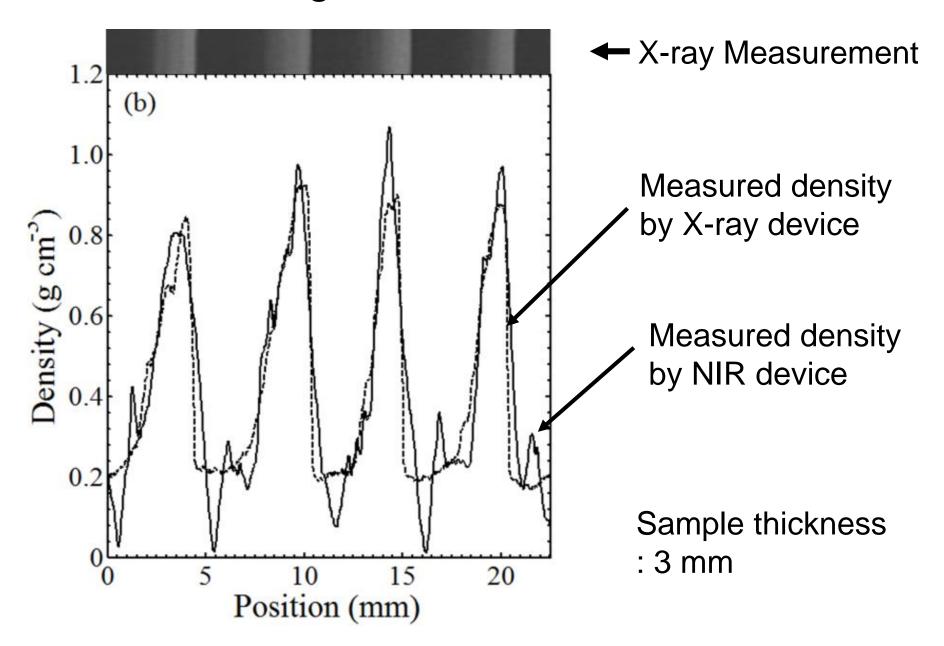
c: Density

G: Attenuation

 $\frac{dA}{dA}$ : Optical path length



#### Fitting of Modified LB Law



# Statistical Results due to Relationships between Measured Density by X-ray and Predicted Density by NIR

Thickness	Number of measurement points	RSS*1	R <sup>2*2</sup>	RMSEC (g cm <sup>-3</sup> )*3
2 mm	288	0.120	0.75	0.075
3 mm	668	0.611	0.81	0.081
4 mm	295	0.175	0.73	0.105

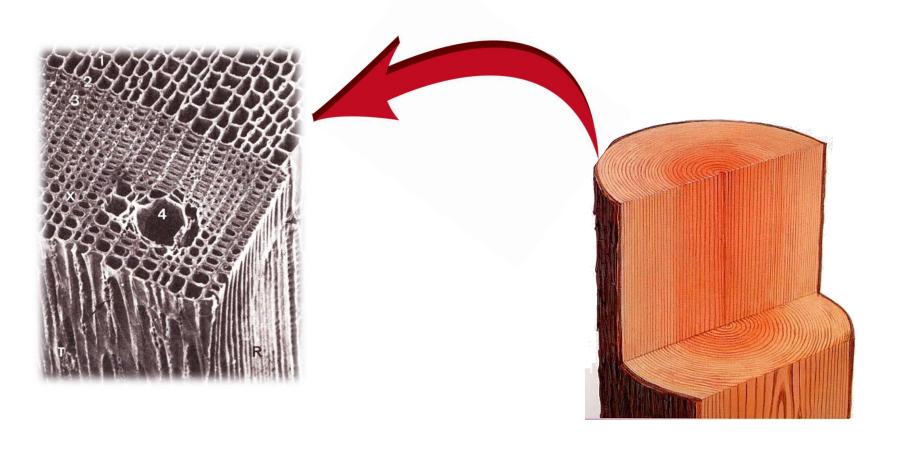
<sup>\*1</sup>The residual sum of squares

<sup>\*2</sup>Determinant coefficient

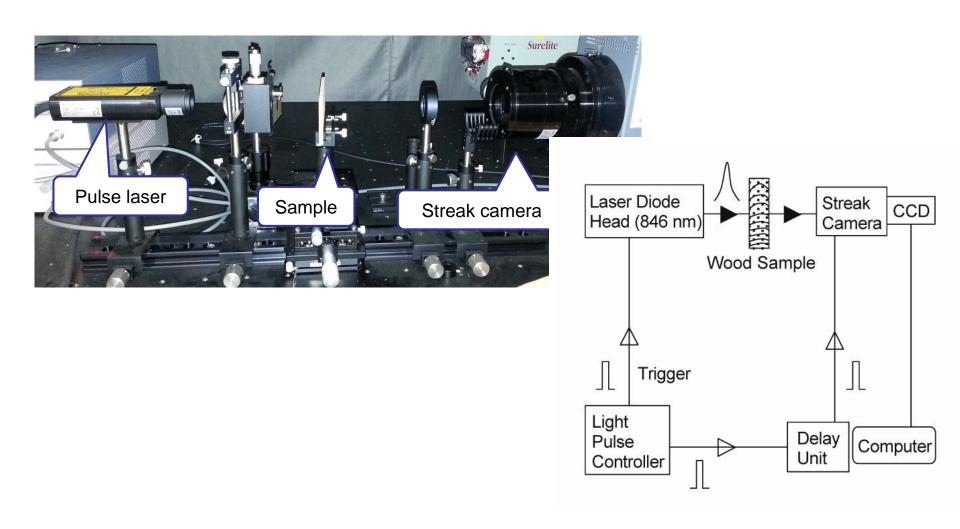
<sup>\*3</sup>Root mean squared error of calibration

#### **Topic II**

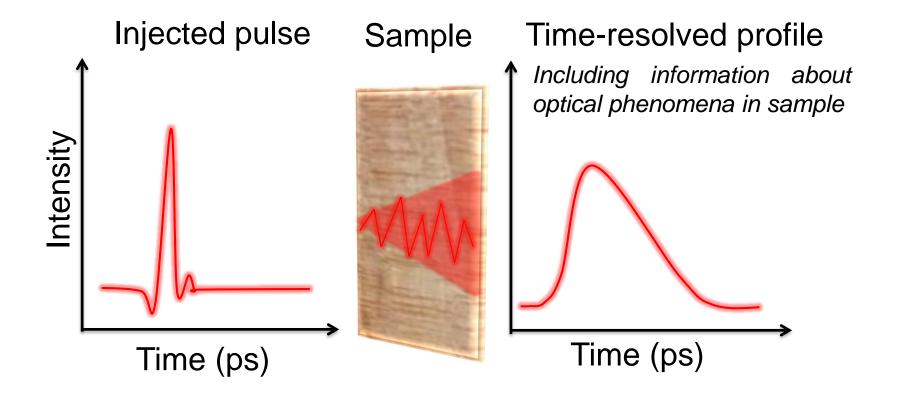
Determination of True Optical Absorption and Scattering Coefficient of Wooden Cell Wall Substance by Time-of-Flight NIR Spectroscopy



Time-of-Flight near infrared spectroscopy (TOF-NIRS) make it possible to assess the internal properties by analyzing the transmitted pulse including the information about the light propagation in sample.



The principle of TOF-NIRS is to send short light pulses into a medium and to measure the time-resolved profile of the diffusely transmitted photons.

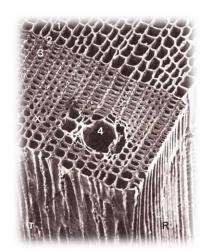


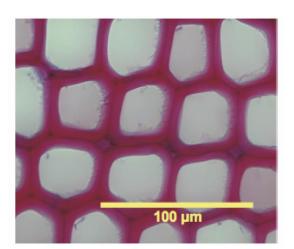
The absorption and the reduced scattering coefficients of the medium can be computed by solving the diffusion approximation to the radiative transfer equation. (Patterson et al. 1989)

$$T(d,t) = (4\pi Dc)^{-1/2} t^{-3/2} \exp(\mu ct) \times \left\{ (d-z_0) \exp\left[-\frac{(d-z_0)^2}{4Dct}\right] - (d+z_0) \exp\left[-\frac{(d+z_0)^2}{4Dct}\right] + (3d-z_0) \exp\left[-\frac{(3d-z_0)^2}{4Dct}\right] - (3d+z_0) \exp\left[-\frac{(3d+z_0)^2}{4Dct}\right] \right\}$$

T(d,t): time-resolved profile at each time, d: thickness, t: time, c: light speed (300mm/ns)  $\mu$ s: reduced scattering coefficient,  $\mu$ a: absorption coefficient, g: anisotropic parameter

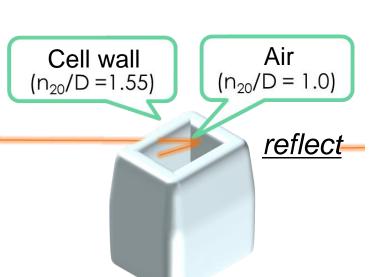
D =  $[3(\mu_s + \mu_a)]^{-1}$ ,  $z_0 = \mu_s^{-1}$ 





However, wood cellular structure is like aggregate of hollow fiber!!

The wood samples were impregnated with three kind of organic solvent to reduce multiple scattering in lumen.

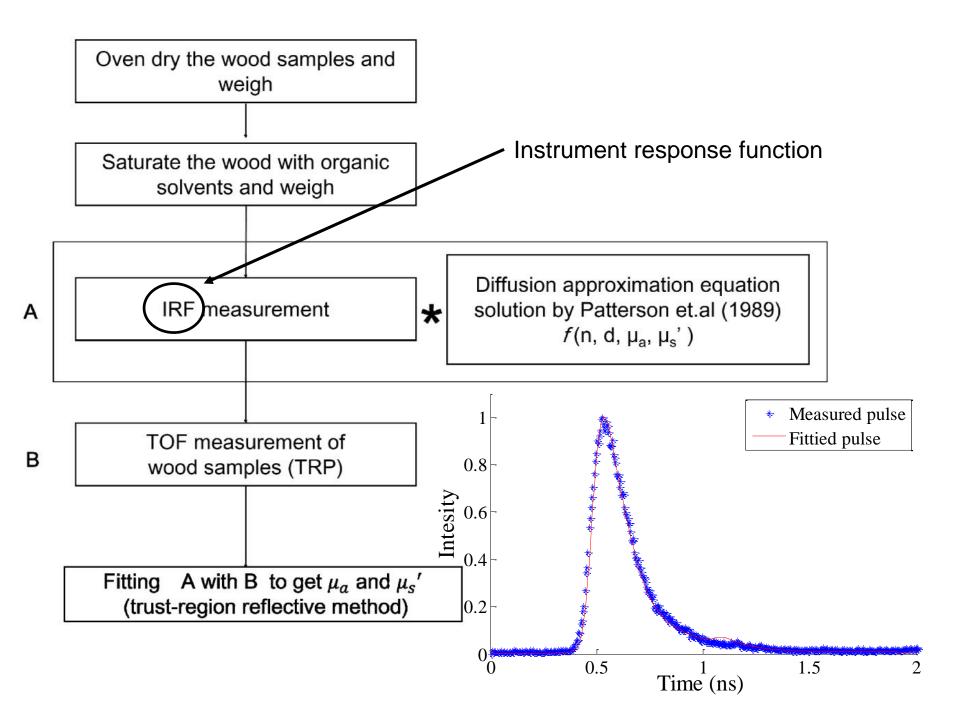


**Cell wall** (n<sub>20</sub>/D =1.55)

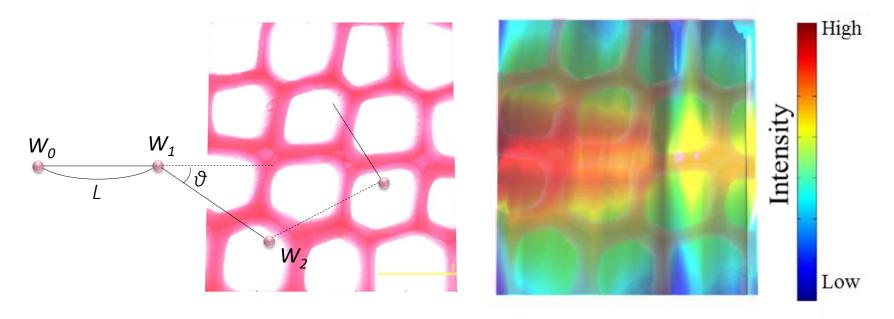
Solvent  $(n_{20}/D \Rightarrow 1.55)$ 

<u>penetrate</u>

	Hexane	Toluene	Quinoline
Chemical formula	C <sub>6</sub> H <sub>12</sub>	C <sub>6</sub> H <sub>5</sub> CH <sub>3</sub>	C <sub>9</sub> H <sub>7</sub> N
Density (g/cm <sup>3</sup> )	0.692	0.8623	1.093
Refractive index (n <sub>20</sub> /D)	1.388	1.4969	1.625
Absorption coefficient (/mm)	0.00129	0.05957	0.00540



Simulation based on Monte-Carlo method, which can describe light diffusion in complex material by computing with random numbers



Step length:  $L = -\ln(R_1) / \mu_t$ 

Scattering angle:  $\theta = 2\pi R_2$ 

Decision of scattering:  $R_3 \le \mu t / \mu_{tmax}$ 

Decision of absorption:  $W_{i+1} = W_i * \mu_s / \mu_t$ 

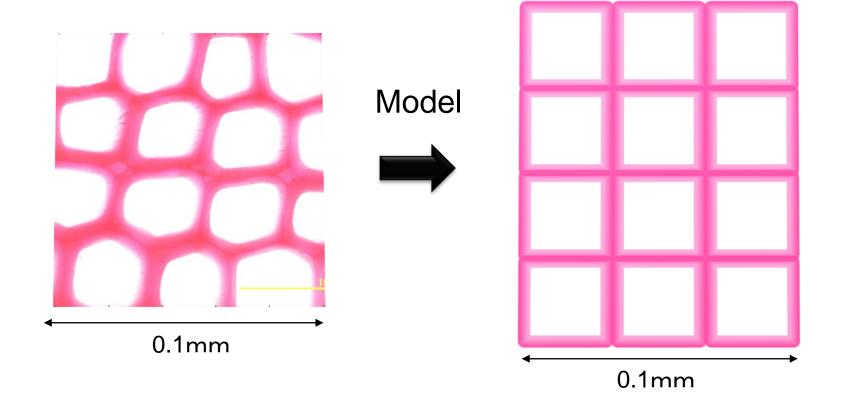
 $\mu_s$ : Scattering coefficient

 $\mu_a$ : Absorption coefficient

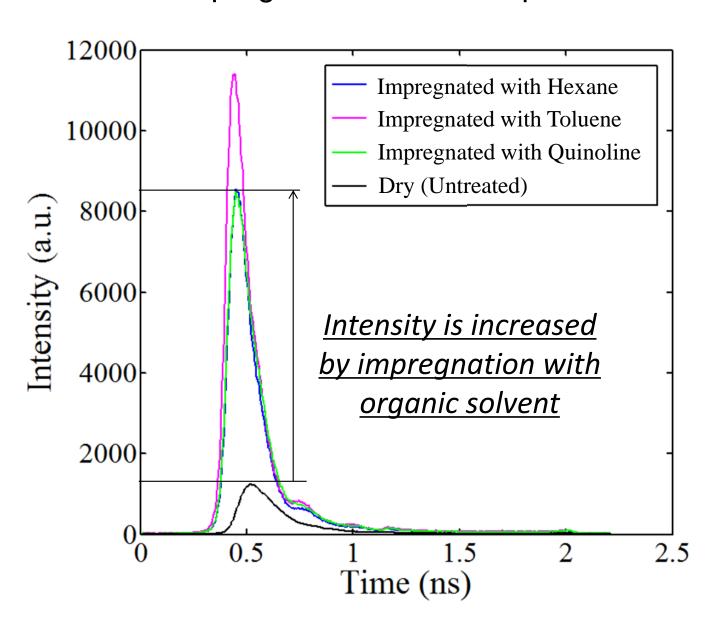
 $\mu_t$ : Attenuation coefficient

R: Random number

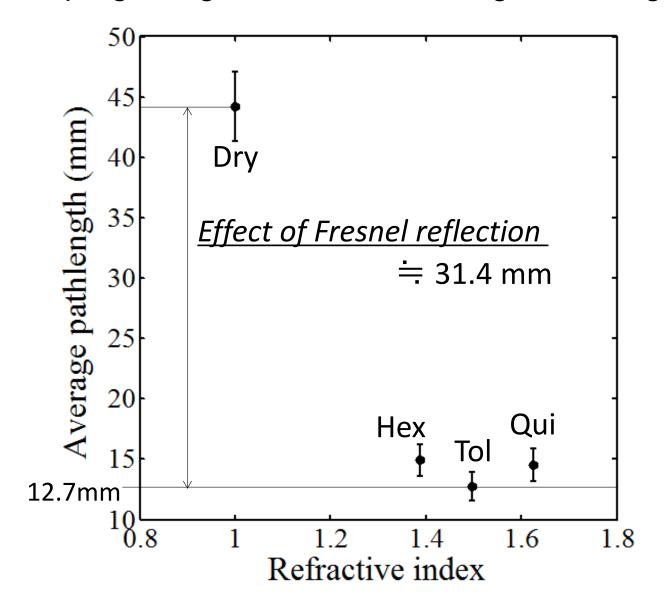
W: Weighting factor



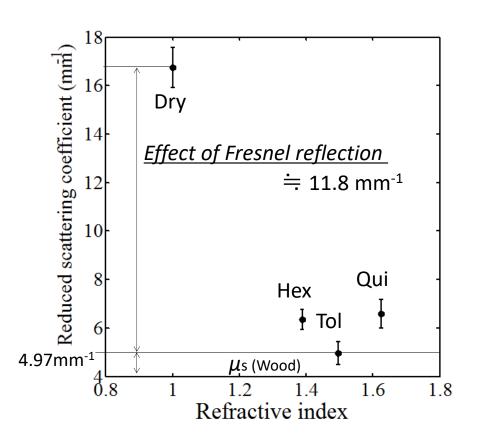
### Time-Resolved Profile Obtained from Dry and Impregnated Wood Sample

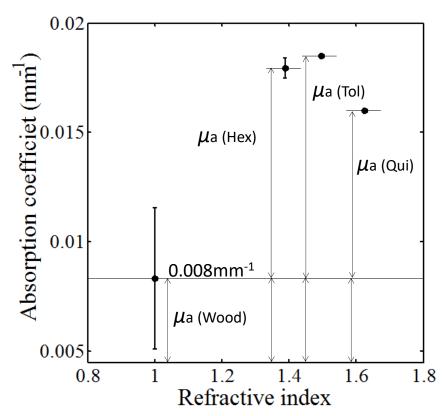


## Relationship between Refractive Index of Impregnating Material and Average Pathlength

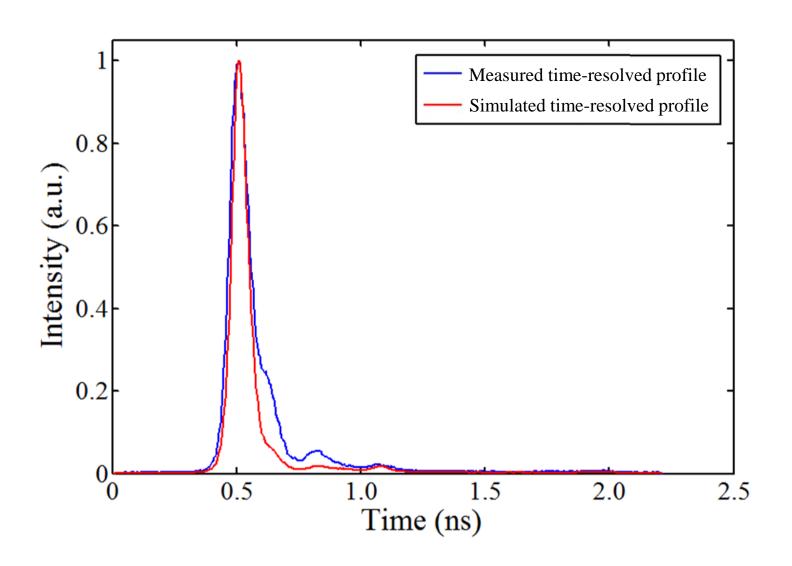


#### Relationship between Refractive Index of Impregnating Material and Reduced Scattering Coefficient or Absorption Coefficient

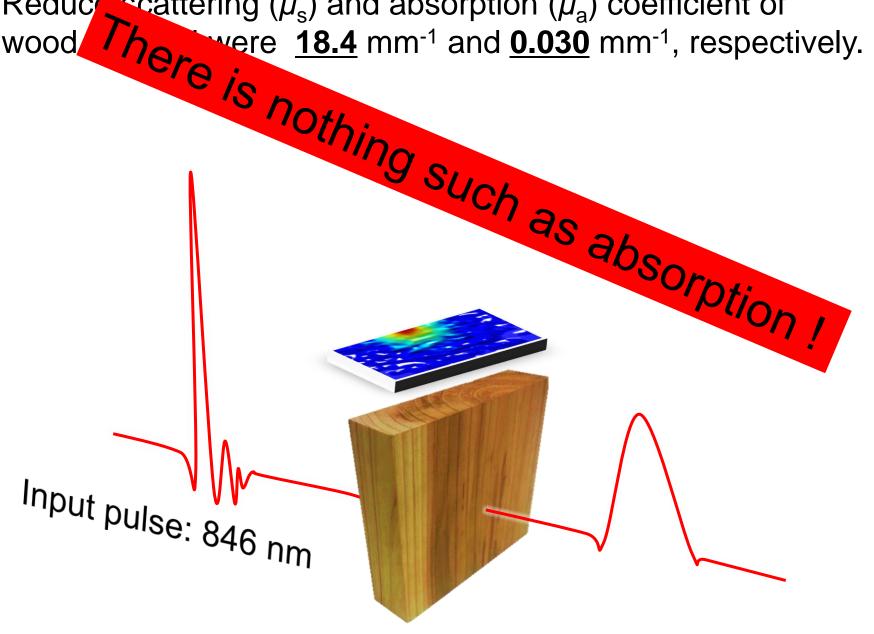




### Comparison between Measured Profile with TOF-NIRS and Simulated Profile with Monte-Carlo Simulation



Reduce cattering  $(\mu_s)$  and absorption  $(\mu_a)$  coefficient of

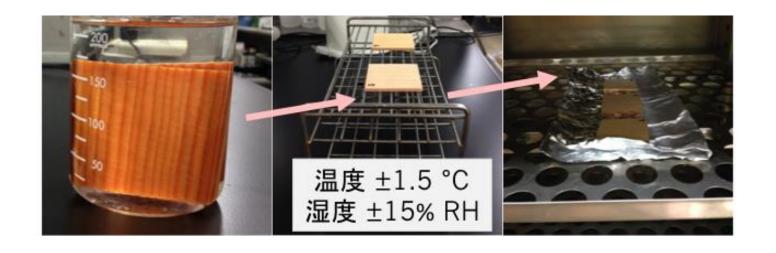


#### **Topic III**

Optical Properties of Drying Wood Studied by TOF-NIRS

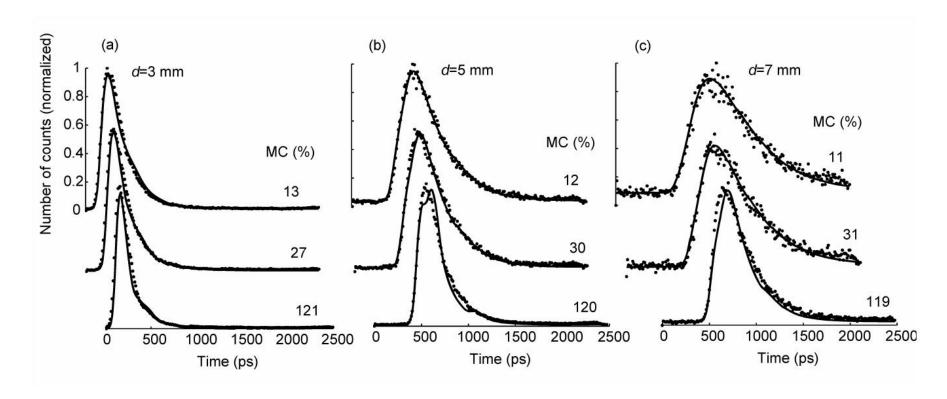


#### Measurement of TRP of Wood at Various Moisture Content



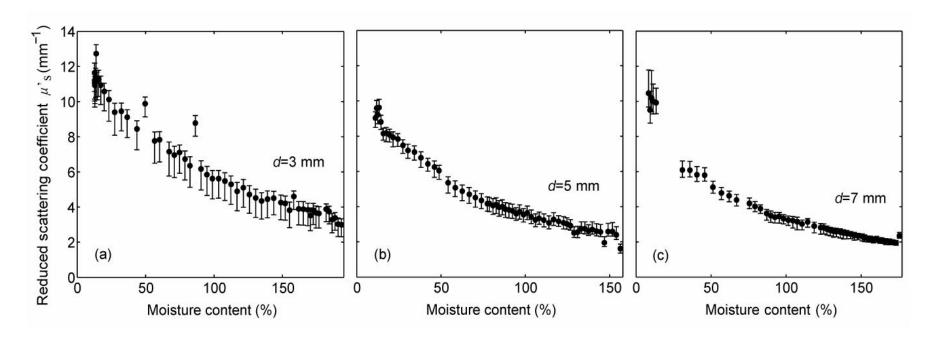


Examples of measured photon time-of-flight distributions at wavelength of 846 nm for the wood samples with moisture content (MC) of about 12% (air-dried), 30% (fiber saturation point) and 120% (water saturated)



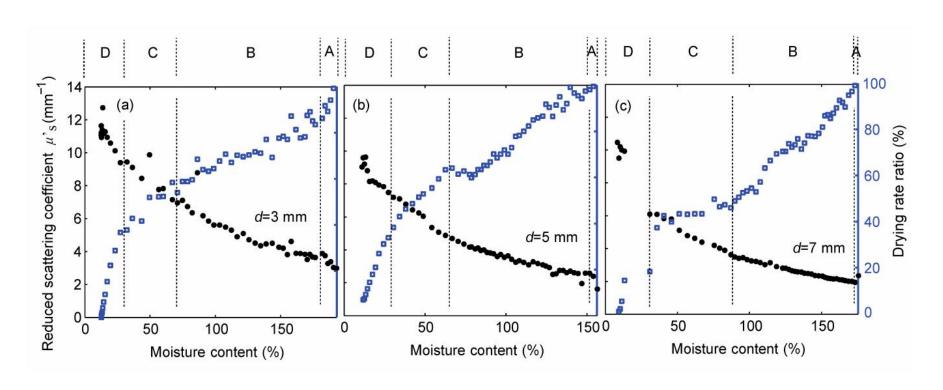
As the wood dries, the photon distribution became broader, resulting in the increase of reduced scattering ( $\mu_s$ '). In the falling edge, the slope became gentler, resulting in the decrease of optical absorption ( $\mu_a$ ).

## Changes of Reduced Scattering Coefficients during Drying of Wood



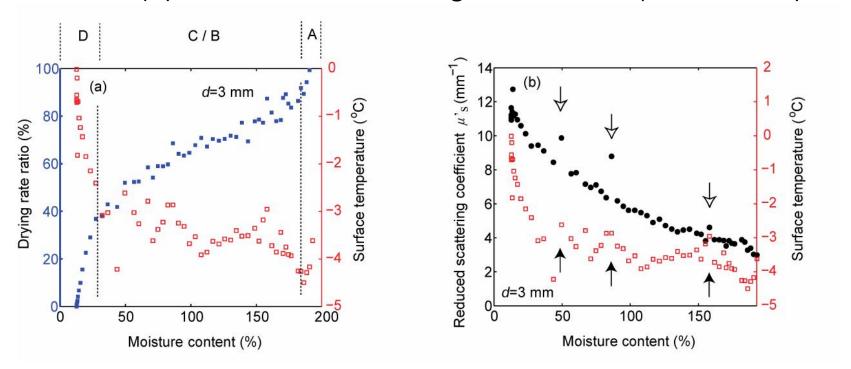
As the wood dries, the isotropic scattering event per unit length increased. The independence of values on the sample thickness ensure the robust calculation.

## Characteristic Changes of Drying Rate Ratio (open square) and Reduced Scattering Coefficient (filled circle)



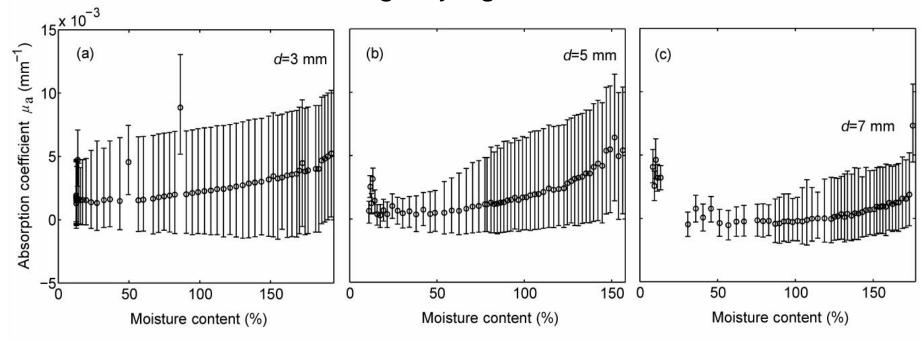
Four drying phases were estimated based on the change of the drying rate curve; constant rate period (Phase A), an early part of 1st falling rate period (Phase B), a late part of 1st falling rate period (Phase C) and 2nd falling rate period (Phase D). As the drying phase changes, the reduced scattering ( $\mu_s$ ') changed more radically.

# Fluctuations of Surface Temperature (open square) and the Change of (a) Drying Rate Ratio (filled square) and (b) Reduced Scattering Coefficient (filled circle)



(a) Surface temperature (temperature difference between wood and air) had a negative linear relation with the drying rate ratio and increased during drying. However, surface temperature fluctuated, while the drying rate ratio changed smoothly. (b) The surface temperature jumping up indicates dry-up of the surface layer of the wood (upward arrow) and it affected the sample scattering coefficient increasing.

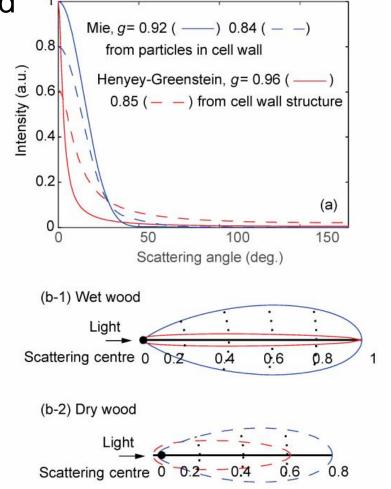
## Changes of Optical Absorption Coefficients during Drying of Wood



The optical absorption was found to decrease during drying of wood. The mean slope of  $\mu_a$  as a function of the volume fraction of water matched well to a value of water absorption. The correspondence indicated that the absorption was derived from the absorption of water molecule which has the peak wavelength at 970 nm.

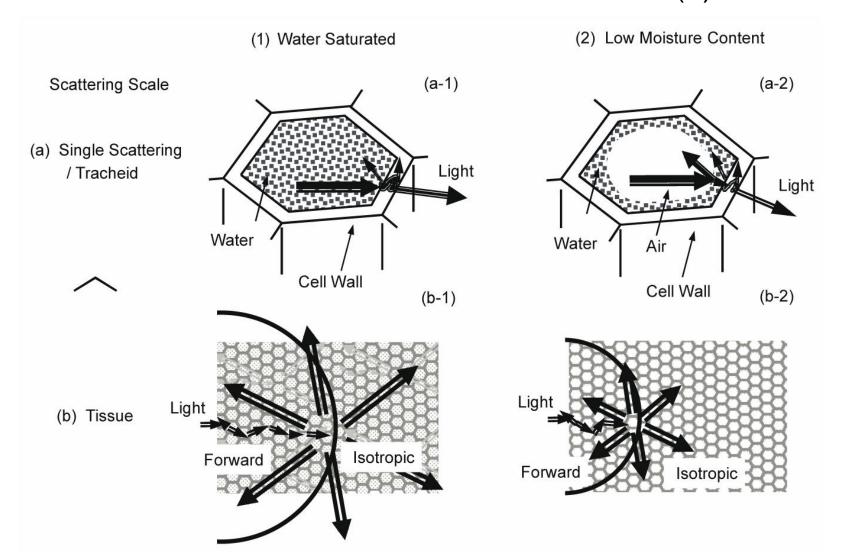
Scattering Angle Distributions for Wet (full line) and

Dry (broken line) Wood



The scattering patterns from cell wall structure (red line) and the patterns from small particles in cell wall (green line) changed similarly toward the isotropic scattering by the drying effect.

Schematic Diagram of the Light Scattering on the Scale of the Tracheids (a) and of the Wood Tissue (b) in the Case of Water Saturated Condition (1) and of Low Moisture Content Condition (2)

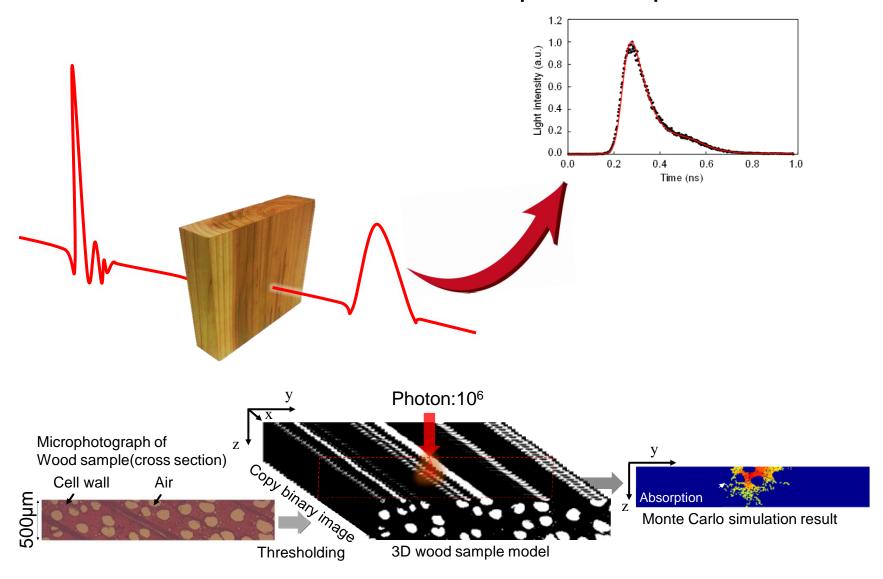


# Characteristic Changes of Reduced Scattering Coefficient and the Scattering Characteristic of Pores in Wood for each Drying Phase

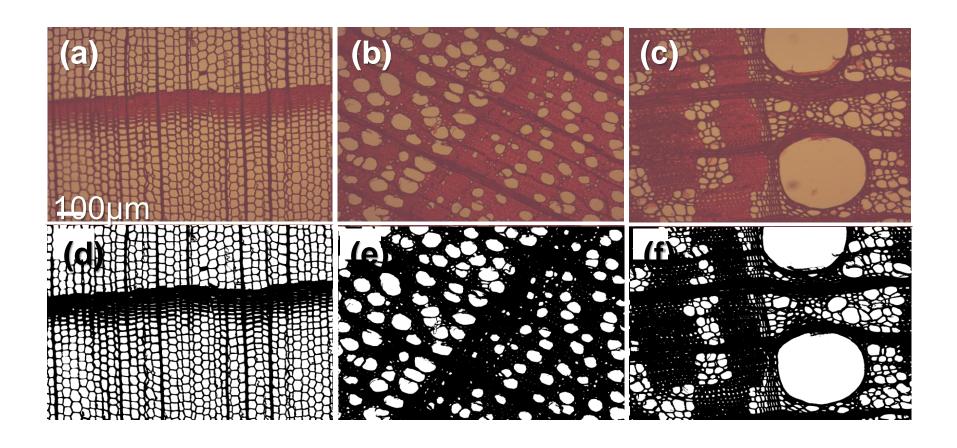
Drying phase	Phase in Figures	Change of reduced scattering	Lost moisture		Pore scatter theory		Scatter isotropy	
			Surface	Inside	Surface	Inside	Surface	Inside
Constant rate period	A	Radical	Free water		Geometric optic		Low	
1st falling rate period Early part	В	Mild	Capillary condensed water	Free water	Mie / Rayleigh	Geometric optic	Moderate	Low
Late part	C	Moderate	Bound water	Free water	Rayleigh	Geometric optic	High	Low
2nd falling rate period	D	Radical		Bound water		Rayleigh		High

### **Topic IV**

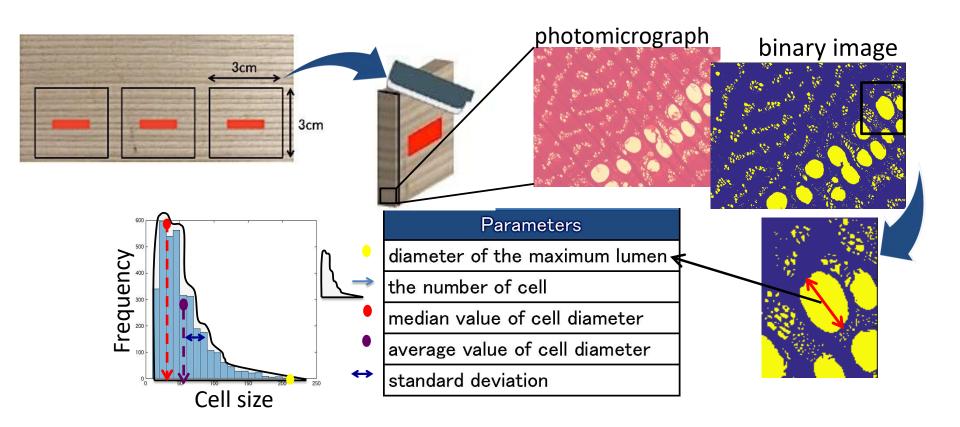
Effect of Cellular Structure on the Optical Properties of Wood



Microscopic images of (a) western red cedar (softwood), (b) beech (diffuse-porous vessel hardwood), and (c) castor aralia (ring-porous vessel hardwood). (d), (e) and (f) are the binary images of (a), (b) and (c), respectively.

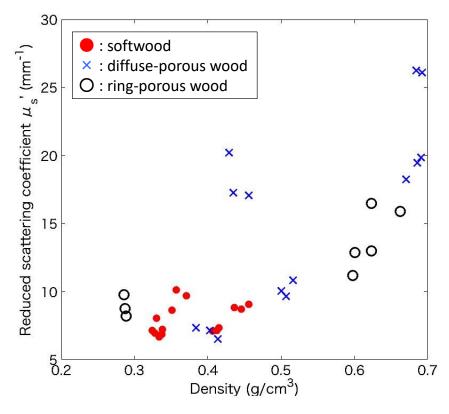


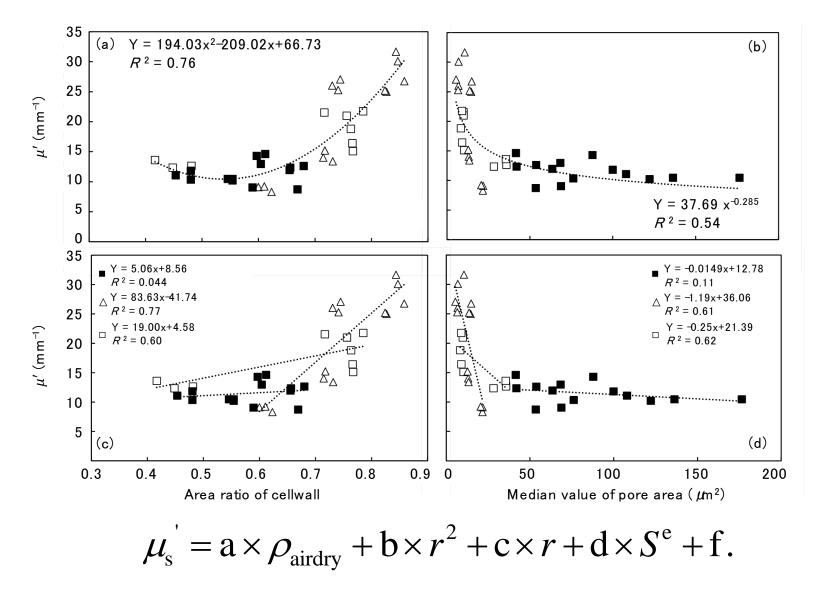
#### Which anatomical parameters affect light propagation in wood?



## Relationship between Density and Measured Reduced Scattering Coefficient

Wood type	Species	Density (g/cm <sup>3</sup> )	$\mu_s$ ' (mm <sup>-1</sup> )
Softwood	Agathis albaFoxw	0.328±0.005	10.4±0.1
	Araucaria heterophylla	$0.411 \pm 0.004$	$14.0 \pm 0.9$
	Thuja plicata	$0.360 \pm 0.010$	$11.1 \pm 0.7$
	Chamaecyparis obtusa	$0.446 \pm 0.010$	$12.5 \pm 0.2$
	Cryptomeria japonica	$0.335 \pm 0.004$	$9.9 \pm 1.8$
Hardwood	Triplochiton scleroxylon	$0.440 \pm 0.014$	$25.6 \pm 1.0$
	Hevea brasiliensis	$0.704 \pm 0.027$	$30.9 \pm 1.1$
	Liriodendron tulipifera	$0.508 \pm 0.008$	$14.2 \pm 0.9$
	Cercidiphyllum Japonicum	$0.400 \pm 0.015$	$8.9 \pm 0.5$
	Paulownia tomentosa	$0.287 \pm 0.002$	$12.9 \pm 0.7$
	Kalopanax pictus	$0.607 \pm 0.014$	16.8±1.9
	Fraxinus mandshurica	$0.638 \pm 0.021$	21.4±0.4
	Fagus sylvatica	$0.682 \pm 0.011$	26.1±0.9



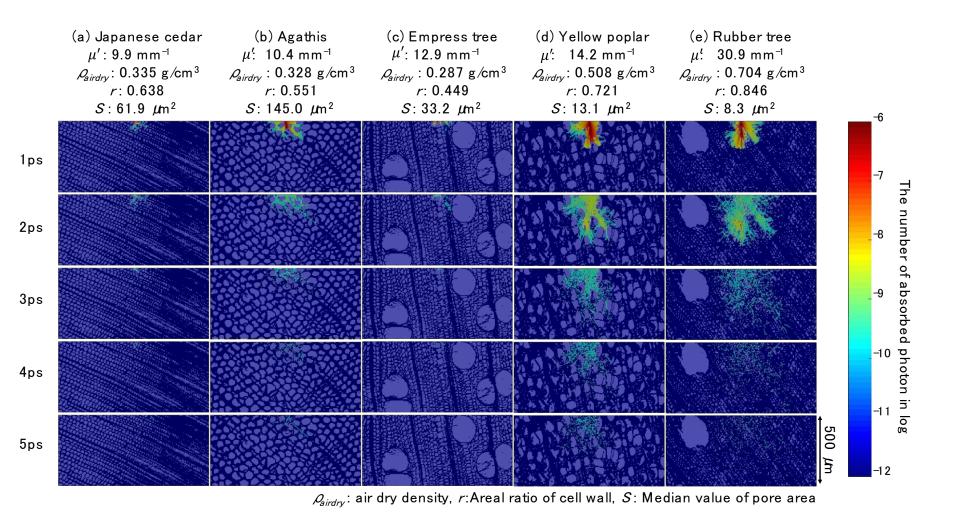


r: Ratio of the cell wall

S: Median pore area

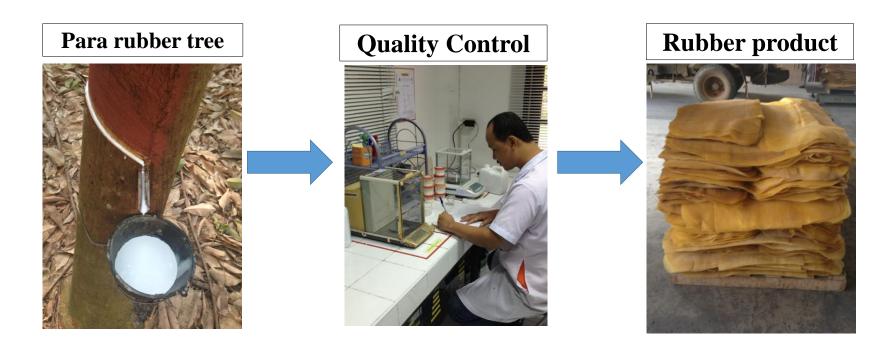
a, b, c, d, e, and f were determined by a nonlinear curve-fitting method.

# Light Propagation in (a) Japanese cedar (b) Agathis (c) Empress tree (d) Yellow poplar and (e) Rubber wood simulated by the Monte Carlo method



### **Topic V**

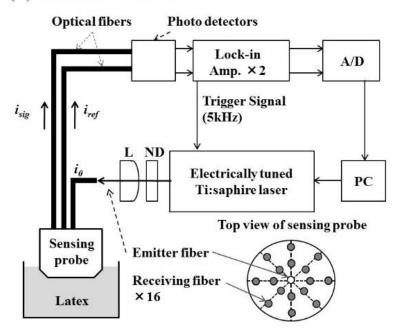
Three Fiber-based Diffuse Reflectance Spectroscopy (TFDRS) for Estimation of Total Solid Content in Natural Rubber Latex



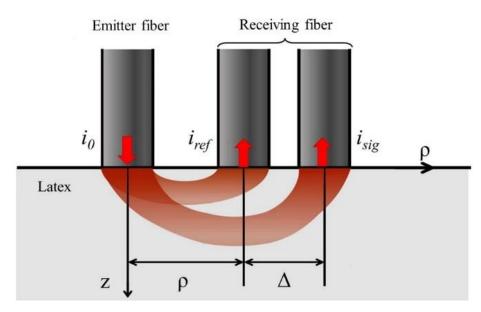
TSC (%) = 
$$\frac{M_1}{M_0} \times 100$$
 (Eq. 1)

M<sub>0</sub>= Weight of latex sample, M<sub>1</sub>=Weight of dried rubber sheet

#### (A) Schematic of TFDRS



#### (B) Geometry of TFDRS



$$R = \frac{i_{sig}}{i_{ref}} \quad \text{(Eq. 2)}$$

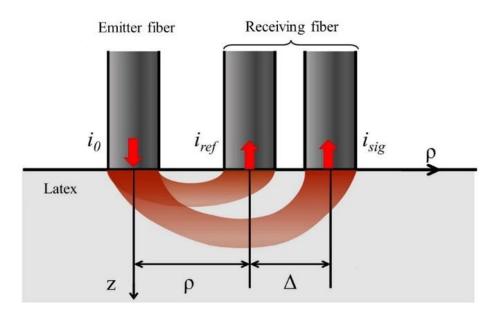
#### Relative absorbance ratio

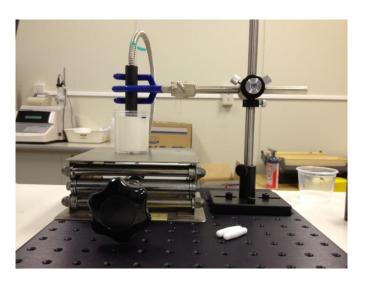
$$\gamma(\lambda_1, \lambda_2, \lambda_3) = \frac{\log R(\lambda_2) - \log R(\lambda_1)}{\log R(\lambda_3) - \log R(\lambda_1)} \quad \text{(Eq. 3)}$$

#### (A) Schematic of TFDRS

#### Optical fibers Photo detectors Lock-in A/D Amp. ×2 Trigger Signal $i_{sig}$ (5kHz) L ND Electrically tuned PC Ti:saphire laser Top view of sensing probe Sensing **Emitter fiber** probe Receiving fiber ×16 Latex

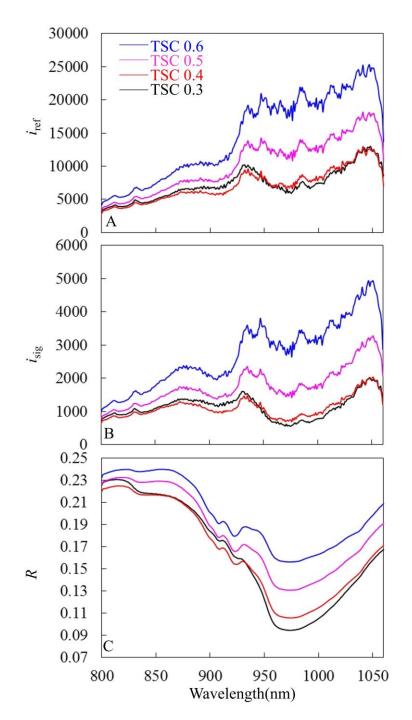
#### (B) Geometry of TFDRS



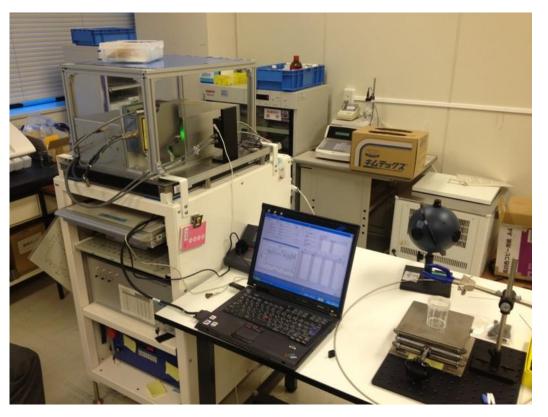






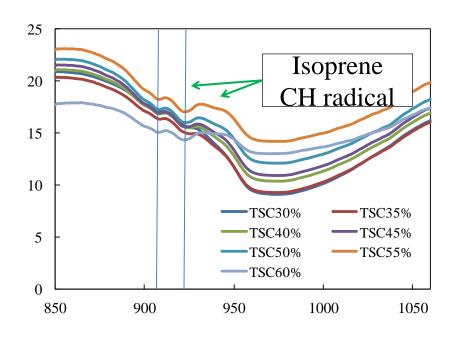


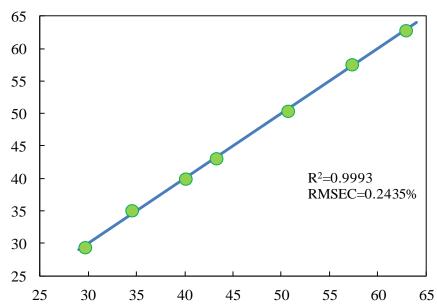
- (A) Light intensity  $i_{ref}$  (2 mm)
- (B) Light intensity  $i_{sig}$  (3 mm) (C) Relative absorbance (R) of latex samples



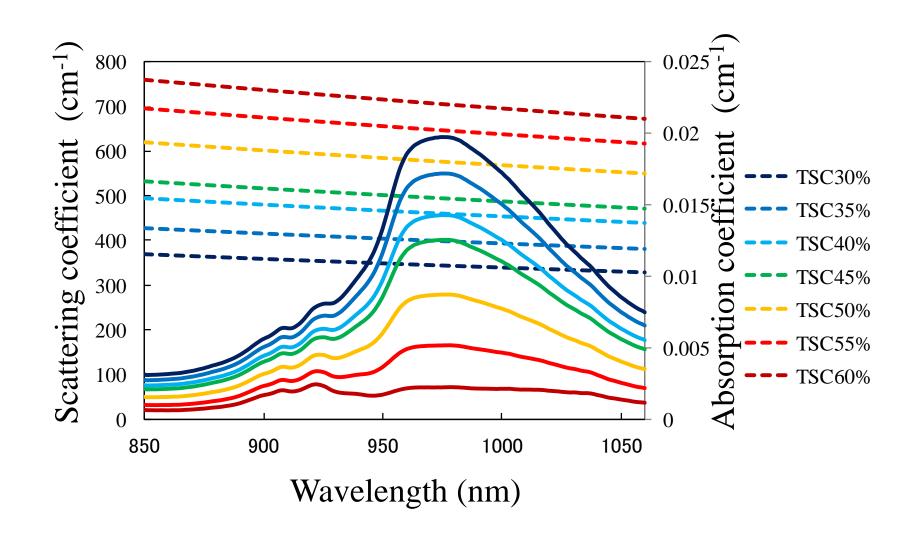
#### Reflectance Ratio of Latex

### Relationship between Measured and Predicted TSC





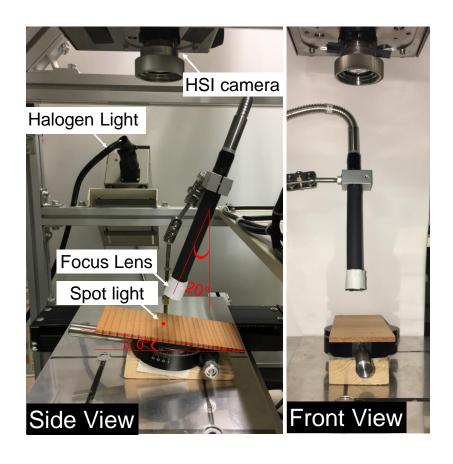
#### Calculated Scattering Coefficient and Absorption Coefficient

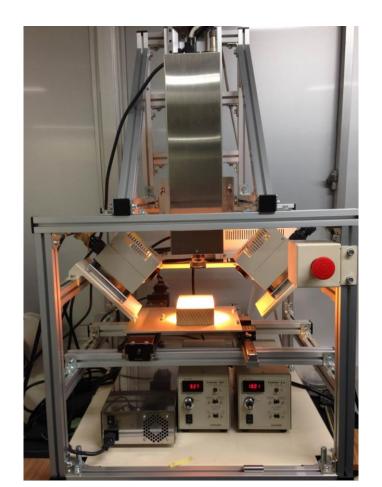




### **Topic VI**

Optical Characteristics of Wood at various Densities, Grain Directions, and Thicknesses Investigated by NIR Spatially Resolved Spectroscopy (NIR-SRS)



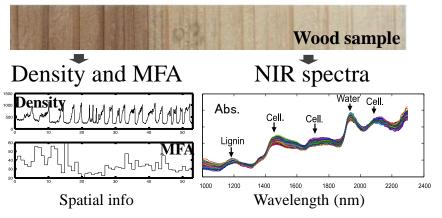


#### What we have done:

Mapping wood density and MFA at a very high spatial resolution

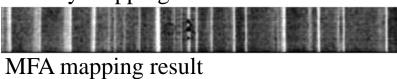
(NIR-HSI)

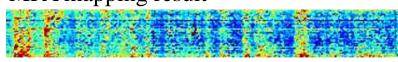
Can measure chemical bonds vibration non-destructively



PLS regression analysis

Density mapping result



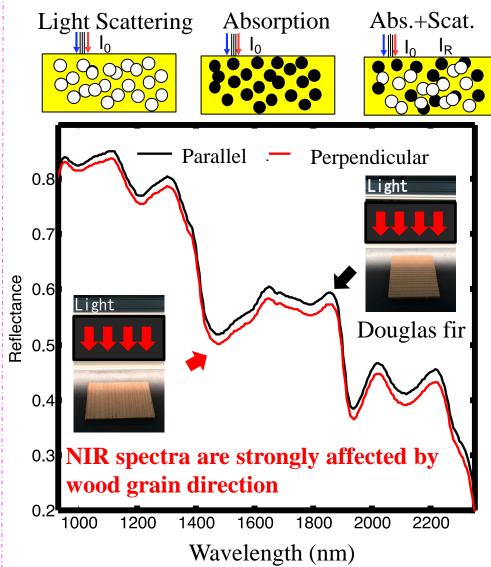


#### NIR spectra:

Only describe the aggregate effect of absorption and scattering

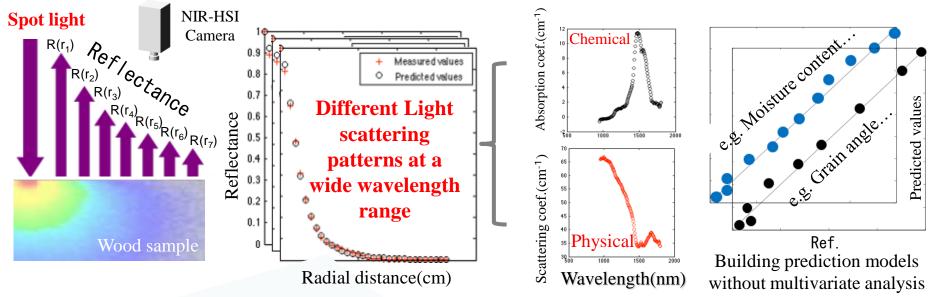
#### What are the issues:

A considerable amount of wavelength data is required, which is usually not transferable among instruments.



#### NIR-SRS (spatially resolved spectroscopy) was proposed

#### Simpler means for measuring the optical properties at a wide wavelength range



$$r_1 = \left[ \left( \frac{1}{\mu_t'} \right)^2 + r^2 \right]^{1/2}$$

$$r_{1} = \left[ \left( \frac{1}{\mu'_{t}} \right)^{2} + r^{2} \right]^{1/2}$$

$$\mu'_{S}: Scattering Coefficient$$

$$r_{2} = \left[ \left( \frac{1}{\mu'_{t}} + \frac{4A}{3\mu'_{t}} \right)^{2} + r^{2} \right]^{1/2}$$

$$\mu_{a}: Absorption Coefficient$$

$$R: Reflectance$$

 $\mu_{eff} = [3\mu_a(\mu_a + \mu'_s)]^{1/2}$ 

$$a' = \frac{\mu_s'}{\mu_s' + \mu_a}$$

$$\frac{\iota_s'}{\iota_s}$$

$$\mu_s'$$
: Scattering Coefficient

r: Radial distance form spot light



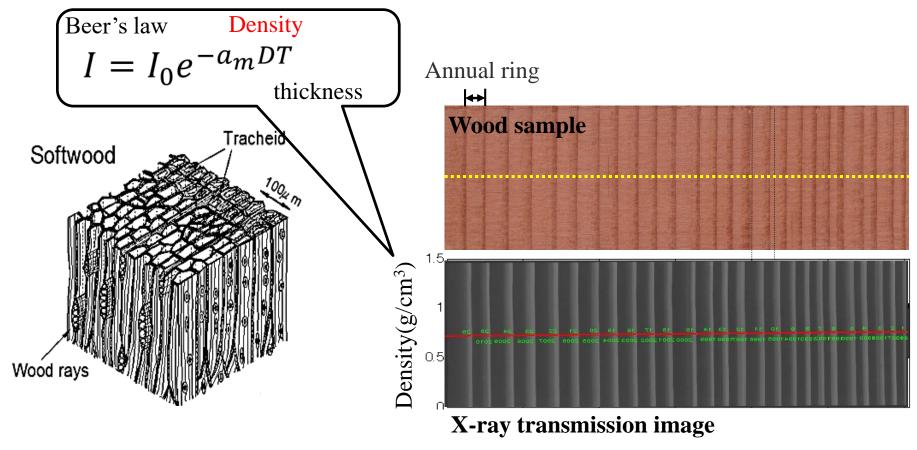


Farrell, T.J., Patterson, M.S., Brain, W., 1992. Medphys 19, 879–888

#### Sample: Douglas fir [Pseudotsuga menziesii (Mirb.) Franco]

Size: 50 mm (Longitudinal) × 130 mm (Radial) × 1mm, 3mm, 5mm (Tangential)

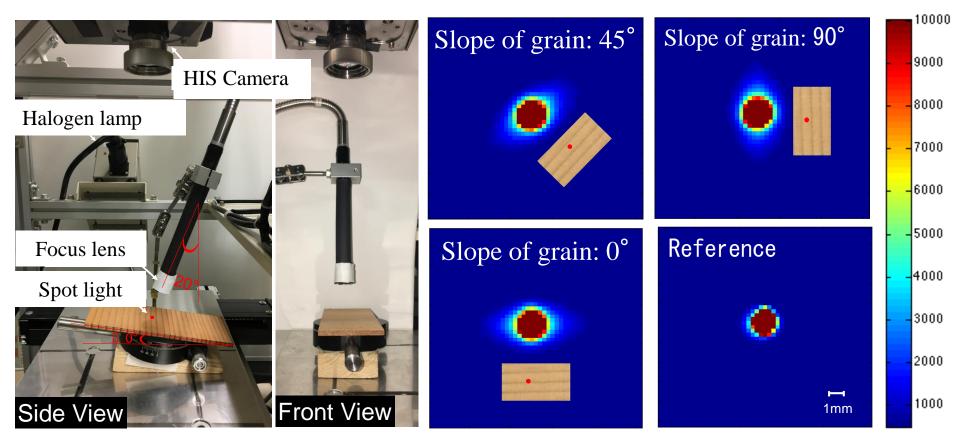
Density measurement: X-ray densitometry (Scan interval 8.3 μm)



Tracheid: over 90% (Volume ratio)

Spatial information (interval: 8.3µm)

## Designed NIR-SRS (spatially resolved spectroscopy) system How to predict wood grain angle?

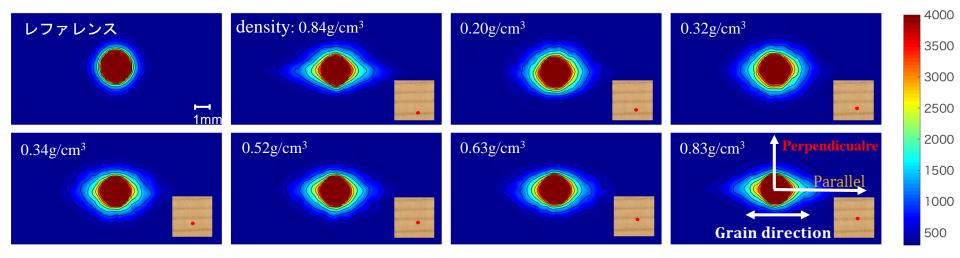


Designed NIR-HSI system

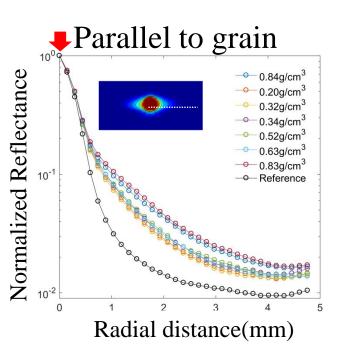
Spatial resolved image (Wavelength 1305nm)

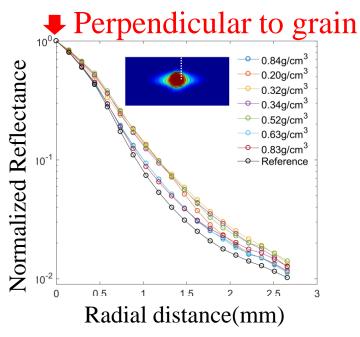
The orientation of the scattering pattern depends on the grain direction

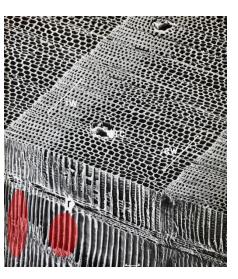
#### How to predict wood density?



Spatially resolved images (wavelength1305nm)



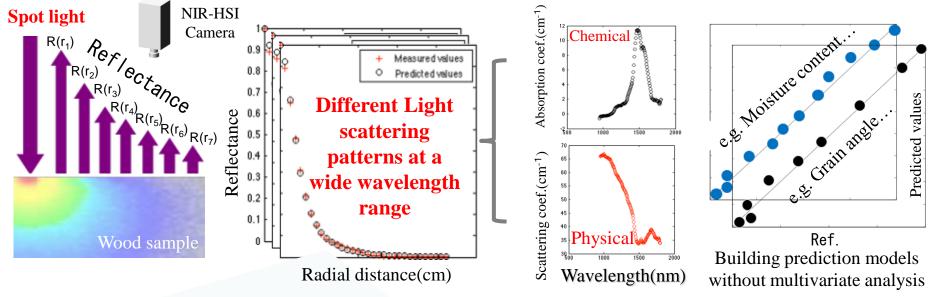




H.A. CORE, W.A. COTE, and A.C. DAY, Wood structure and identification, Syracuse wood science series, 6, 1979

#### NIR-SRS (spatially resolved spectroscopy) was proposed

#### Simpler means for measuring the optical properties at a wide wavelength range



$$r_1 = \left[ \left( \frac{1}{\mu_t'} \right)^2 + r^2 \right]^{1/2}$$

$$r_2 = \left[ \left( \frac{1}{\mu_t'} + \frac{4A}{3\mu_t'} \right)^2 + r^2 \right]^{1/2}$$

$$a' = \frac{\mu_s'}{\mu_s' + \mu_a}$$

$$\mu_{eff} = [3\mu_a(\mu_a + \mu'_s)]^{1/2}$$

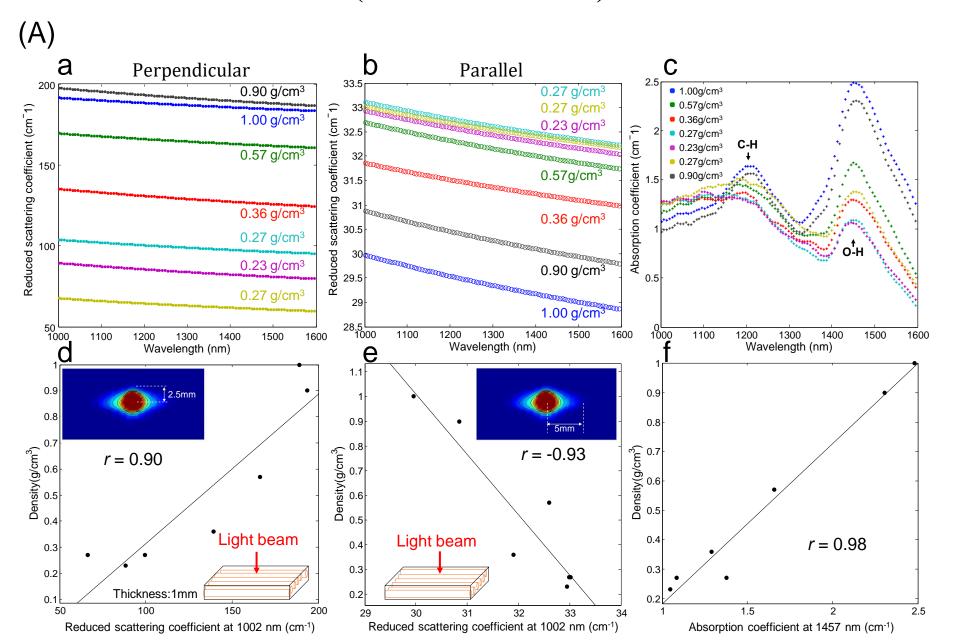
$$\mu_s'$$
: Scattering Coefficient

$$\mu_a$$
: Absorption Coefficient

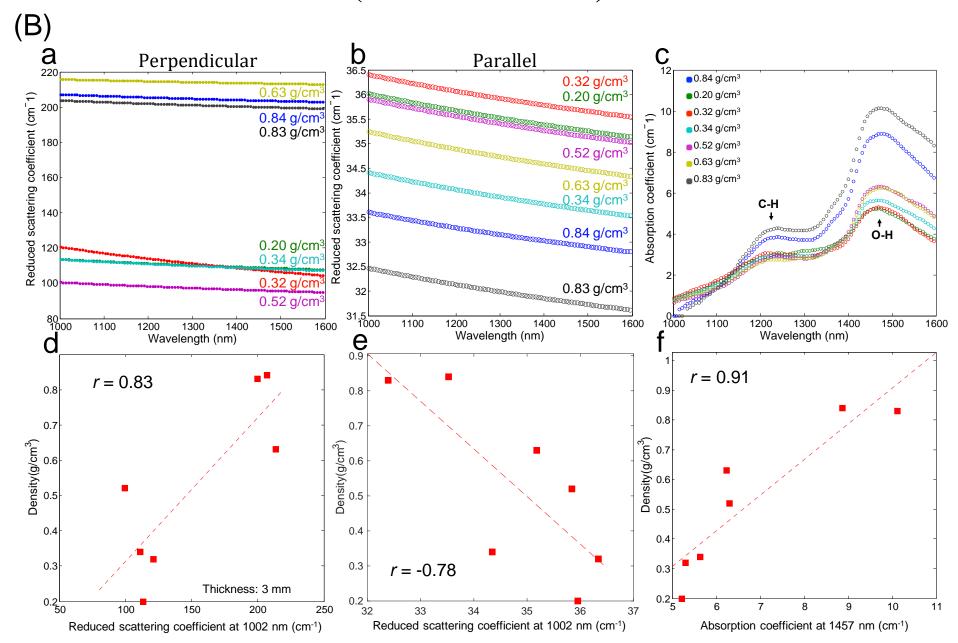
R : Reflectance

r: Radial distance form spot light

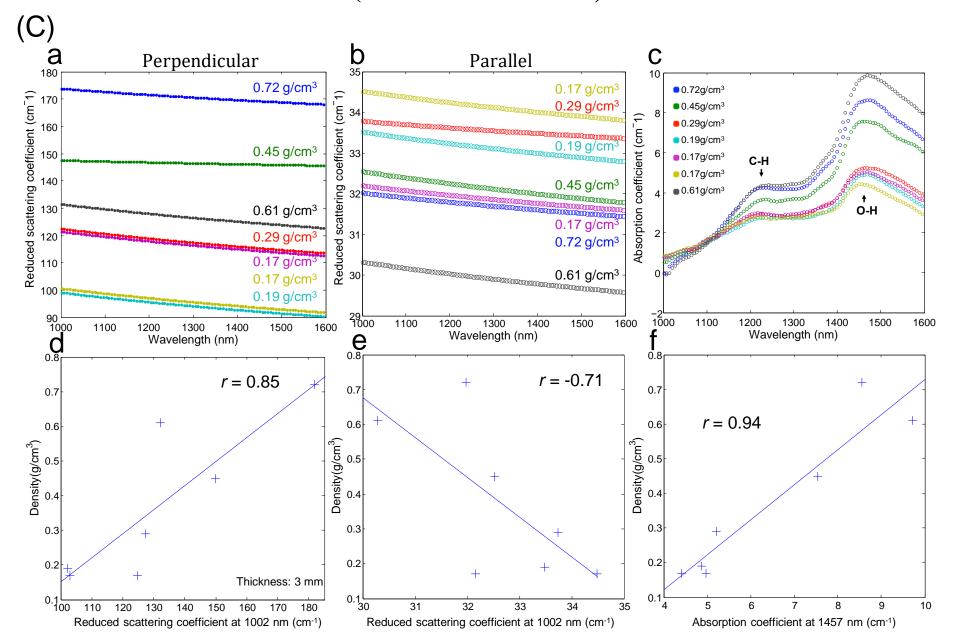
## Correlation between Optical Properties and Wood Density (Thickness: 1 mm)



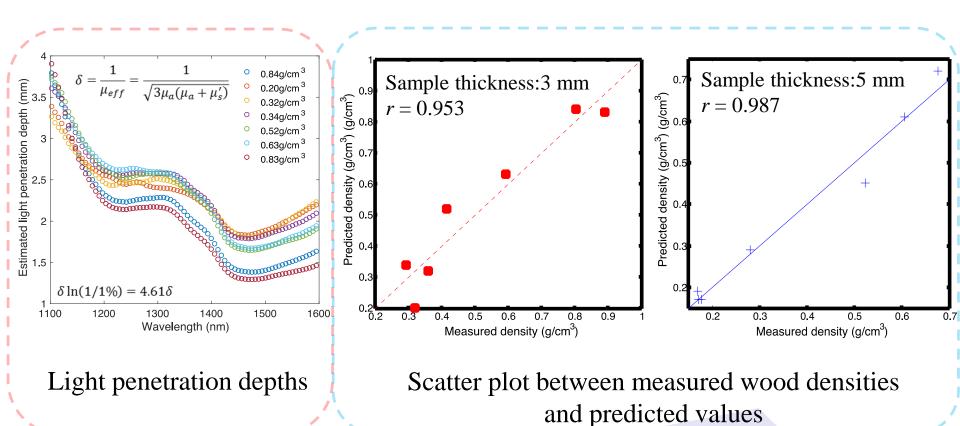
### Correlation between Optical Properties and Wood Density (Thickness: 3 mm)



## Correlation between Optical Properties and Wood Density (Thickness: 5 mm)



# Satisfied wood density prediction accuracy was achieved by using two key wavelengths optical properties without complex chemometrics such as, PLS, PCR...



$$D_{3mm} = -2.137 + 0.115 \times \mu_a - 0.046 \times \mu'_s(par.) + 0.002 \times \mu'_s(per.)$$

